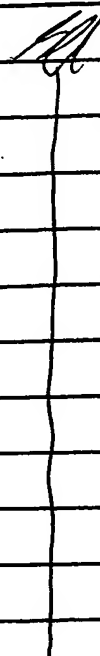
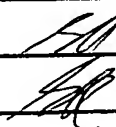



Sheet 1 of 6

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. TJU0006-101	Serial No. 10/695,578
		Applicant  Scott A. Waldman et al.	
		Filing Date 10/27/2003	Group To Be Determined
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	AA	Almenoff et al., "Induction of heat-stable enterotoxin receptor activity by a human Alu repeat," <i>J. Biol. Chem.</i> , 1994, 269(24), 16610-16617	
	AB	Berd et al., "Immunization with Haptenized, Autologous Tumor Cells Induces Inflammation of Human Melanoma Metastases", <i>Cancer Res.</i> , 1991, 51, 2731-2734	
	AC	Berd et al., "Induction of Cell-mediated Immunity to Autologous Melanoma Cells and Regression of Metastases after Treatment with a Melanoma Cell Vaccine Preceded by Cyclophosphamide", <i>Cancer Res.</i> , 1986, 46, 2572-2577	
	AD	Carrithers et al., "Escherichia coli heat-stable toxin receptors in human colonic tumors," <i>Gastroenterology</i> , 1994, 107, 1653-1661	
	AE	Carrithers et al., "Escherichia coli heat-stable enterotoxin receptors. A novel marker for colorectal tumors," <i>Diseases Colon &amp; Rectum</i> , 1996, 39(2), 171-181	
	AF	Francis et al., Peptide Vaccines Based on Enhanced Immunogenicity of Peptide Epitopes Presented with T-Cell Determinants or Hepatitis B Core Protein", <i>Methods in Enzymol.</i> , 1989, 178, 659-676	
	AG	MacLean et al., "Immunization of breast cancer patients using a synthetic sialyl-Tn glycoconjugate plus Detox adjuvant", <i>Cancer Immunol. Immunother.</i> , 1993, 36, 215-222	
	AH	Miller et al., "The Induction of Hapten-Specific T Cell Tolerance by Using Hapten-Modified Lymphoid Cells", <i>J. Immunol.</i> , 1976, 117(5:1), 1519-1526	
	AI	Rudner et al., "Regulation of cell signaling by the cytoplasmic domains of the heat-stable enterotoxin receptor: Identification of autoinhibitory and activating motifs", <i>Proc. Natl. Acad. Sci.</i> , 1995, 92, 5169-5173	
	AJ	Sad et al., "Bypass of carrier-induced epitope-specific suppression using a T-helper epitope", <i>Immunology</i> , 1992, 76, 599-603	
	AK	Waldman et al., "Immunoaffinity Purification of Soluble Guanylyl Cyclase", <i>Methods Enzymol.</i> , 1991, 195, 391-396	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b> 8.25.06	

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office				Docket No.		Serial No.	
				TJU0006-101		10/695,578	
				Applicant			
				Scott A. Waldman et al.			
				Filing Date		Group	
				10/27/2003		To Be Determined	
<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	AL	4,022,878	05/10/77	Gross	424	1.5	
	AM	4,329,281	05/11/82	Christenson et al.	260	112 B	
	AN	4,526,716	07/02/85	Stevens	260	112.5 R	
	AO	4,736,866	04/12/88	Leder et al.	800	1	
	AP	4,873,191	10/10/89	Wagner et al.	435	172.3	
	AQ	4,945,050	07/31/90	Sanford et al.	435	172.1	
	AR	5,017,487	05/21/91	Stunnenberg et al.	435	172.3	
	AS	5,037,645	08/06/91	Strahilevitz	424	85.8	
	AT	5,112,606	05/12/92	Shiosaka et al.	530	389.2	
	AU	5,352,775	10/04/94	Albertsen et al.	536	23.1	
	AV	5,518,888	05/21/96	Waldman	435	7.23	
	AW	5,601,990	02/11/97	Waldman	435	7.23	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
	AX	WO 90/11092	10/04/90	PCT	X		
	AY	WO 95/11694	05/04/95	PCT	X		
<b>EXAMINER</b> 				<b>DATE CONSIDERED</b> 8-25-06			

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. TJU0006-101	Serial No. 10/695,578
	Applicant Scott A. Waldman et al.	
	Filing Date 10/27/2003	Group To Be Determined

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
<i>SA</i>	AA	5,518,888	5/21/96	Waldman et al.	435	7.23
	AB	5,601,990	2/11/97	Waldman et al.	435	7.23
	AC	5,731,159	3/24/98	Waldman et al.	435	7.23
	AD	5,879,656	3/9/99	Waldman et al.	424	149
	AE					
	AF					
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	AJ					

## FOREIGN PATENT DOCUMENTS

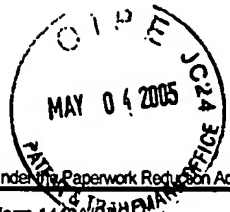
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STATEMENT BY APPLICANT**

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Sheet 4 of 6

**Complete if Known**

Application Number	10/695,578
Filing Date	October 27, 2003
First Named Inventor	Scott A. Waldman
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	TJU0006-101 (WAL_SCO_005)

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication/Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
SA I	BA	US-5,273,745	12-1993	Waldman et al.	
	BB	US-5,484,596	11-1993	Hanna et al.	
	BC	US-5,237,051	08-1993	Garbers et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date/Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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STATEMENT BY APPLICANT**


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Sheet 5 of 6

**Complete if Known**

Application Number	10/695,578
Filing Date	October 27, 2003
First Named Inventor	Scott A. Waldman
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	TJU0006-101 (WAL_SCO_005)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BD	Banchereau et al., "Immune and clinical responses in patient with metastatic melanoma to CD34+ progenitor-derived dendritic cell vaccine," <i>Cancer Research</i> (2001) 61:6451-6458.	
	BE	Bellone et al., "Cancer immunotherapy: synthetic and natural peptides in the balance," <i>Immunology Today</i> (1999) 20(10):457-462.	
	BF	Carrithers et al., "Escherichia coli heat-stable toxin receptors in human colonic tumors," <i>Gastroenterology</i> (1994) 107:1653-1661.	
	BG	Celis et al., "Identification of potential CTL epitopes of tumor-associated antigen MAGE-1 for five common HLA-A alleles," <i>Molecular Immunology</i> (1994) 31(18):1423-1430.	
	BH	Disis et al., "Peptide-based, but not whole protein, vaccines elicit immunity to HER-2/neu, oncogenic self-protein," <i>J. of Immunology</i> (1996) 156:3151-3158.	
	BI	Foon et al., "Clinical and immune responses in resected colon cancer patients treated with anti-idiotypic monoclonal antibody vaccine that mimics the carcinoembryonic antigen," <i>Journal of Clinical Oncology</i> (1999) 17(9):2889-2895.	
	BJ	Herbert et al., <i>The Dictionary of Immunology</i> , Academic Press, 4th ed. (1995).	
	BK	Greenspan et al., "Defining epitopes: It's not as easy as it seems," <i>Nature Biotechnology</i> (1999) 7:936-937.	
	BL	Gura "Systems for identifying new drugs are often faulty," <i>Science</i> (1997) 278:1041-1042.	
	BM	Mallo et al., "Expression of the Cdx1 and Cdx2 homeotic genes leads to reduced malignancy in colon cancer-derived cells," <i>J. Biological Chemistry</i> (1998) 273(22):14030-14036.	
	BN	Nelson et al., <i>J. Nat'l. Can. Ins.</i> (1996) 88(8):468-488.	

Examiner Signature		Date Considered	8-26-06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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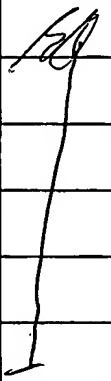
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Sheet 6 of 6

**Complete if Known**

Application Number	10/895,578
Filing Date	October 27, 2003
First Named Inventor	Scott A. Waldman
Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	TJU0006-101 (WAL_SCO_005)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BO	Reynolds et al., "Vaccine-induced CD8+ T-cell responses to MAGE-3 correlate with clinical outcome in patients with melanoma," <i>Clinical Cancer Research</i> (2003) 9:657-662.	
	BP	Singh et al., "Isolation and expression of a guanylate cyclase-coupled heat stable enterotoxin receptor cDNA from a human colonic cell line," <i>Biochem. Biophys. Res. Comm.</i> (1991) 179(3):1455-1463.	
	BQ	Smith "Cancer and the immune system," <i>Pediatr Clin North Am.</i> (1994) 41(4) 841-850.	
	BR	Spitler "Cancer vaccines: the interferon analogy," <i>Cancer Biotherapy</i> (1995) 10:1-3.	
	BS	Welzien HU "Renaissance for hapten-specific T lymphocytes: implications for basic and applied research," <i>Z Naturforsch [C]</i> (1992) 47(5-6):323-328.	
	BT	Miller et al., "Improved survival of patients with Melanoma with an antibody response to immunization of a polyvalent melanoma vaccine," <i>Cancer</i> (1995) 75(2):495-502.	

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SignatureDate  
Considered

8-26-06

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